

SUPPLEMENTAL  
DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## VERY LARGE SCALE IMMOBILIZED POLYMER SYNTHESIS

the specification of which ☐ is attached hereto or ☒ was filed on March 7, 1990 as Application Serial No. 492,462 and was amended on \_\_\_\_\_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			Yes _____ No _____
			Yes _____ No _____

I claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	DATE OF FILING	STATUS
362,901	June 7, 1989	<input type="checkbox"/> Patented <input checked="" type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

-00 201	FULL NAME OF INVENTOR	Last Name <u>PIRRUNG</u>	First Name <u>MICHAEL</u>	Middle Name or Initial <u>C.</u>
	RESIDENCE & CITIZENSHIP	City <u>Durham</u>	State or Foreign Country <u>North Carolina NC</u>	Country of Citizenship <u>U.S.A.</u>
	POST OFFICE ADDRESS	Post Office Address <u>3421 Cottonwood,</u>	City <u>Durham,</u>	State or Country <u>North Carolina</u>
			Zip Code <u>27707</u>	
-00 202	FULL NAME OF INVENTOR	Last Name <u>READ</u>	First Name <u>J.</u>	Middle Name or Initial <u>LEIGHTON</u>
	RESIDENCE & CITIZENSHIP	City <u>Palo Alto</u>	State or Foreign Country <u>California CA</u>	Country of Citizenship <u>U.S.A.</u>
	POST OFFICE ADDRESS	Post Office Address <u>1001 Ramona,</u>	City <u>Palo Alto,</u>	State or Country <u>California</u>
			Zip Code <u>94301</u>	
-00 203	FULL NAME OF INVENTOR	Last Name <u>FODOR</u>	First Name <u>STEPHEN</u>	Middle Name or Initial <u>P.A.</u>
	RESIDENCE & CITIZENSHIP	City <u>Palo Alto</u>	State or Foreign Country <u>California CA</u>	Country of Citizenship <u>U.S.A.</u>
	POST OFFICE ADDRESS	Post Office Address <u>817 Wintergreen Way,</u>	City <u>Palo Alto,</u>	State or Country <u>California</u>
			Zip Code <u>94303</u>	

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 201 <u>Michael C. Pirrung</u>	Signature of Inventor 202 <u>J. Read</u>	Signature of Inventor 203 <u>Stephen P.A. Fodor</u>
Date <u>8/17/90</u>	Date <u>8/9/90</u>	Date <u>Aug 9, 1990</u>

# SUPPLEMENTAL DECLARATION

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### Prior Foreign Application(s)

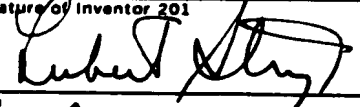
COUNTRY	APPLICATION NUMBER	DATE OF FILING	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			Yes _____ No _____
			Yes _____ No _____

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		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

1-00 201	FULL NAME OF INVENTOR	Last Name <u>STRYER</u>	First Name <u>LUBERT</u>	Middle Name or Initial _____
	RESIDENCE & CITIZENSHIP	City <u>Stanford</u>	State or Foreign Country <u>California CA</u>	Country of Citizenship <u>U.S.A.</u>
	POST OFFICE ADDRESS	Post Office Address <u>843 Sonoma Terrace,</u>	City <u>Stanford,</u>	State or Country <u>California</u>
			Zip Code <u>94305</u>	
202	FULL NAME OF INVENTOR	Last Name	First Name	Middle Name or Initial
	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
	POST OFFICE ADDRESS	Post Office Address	City	State or Country
			Zip Code	
203	FULL NAME OF INVENTOR	Last Name	First Name	Middle Name or Initial
	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
	POST OFFICE ADDRESS	Post Office Address	City	State or Country
			Zip Code	

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 201 	Signature of Inventor 202	Signature of Inventor 203
Date <u>August 10, 1990</u>	Date	Date



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - SMALL BUSINESS CONCERN**

Applicant Invention: Michael C. Pirrung et al.  
Serial or Patent No.: --Not Yet Assigned--  
Filed or Issued: September 30, 1992  
Title: VERY LARGE SCALE IMMOBILIZED POLYMER SYNTHESIS

**I hereby declare that I am**

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN	AFFYMAX TECHNOLOGIES N.V., a Netherlands Antilles corporation
ADDRESS OF SMALL BUSINESS CONCERN	De Ruyderkade 62, Curacao, Netherlands Antilles

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled VERY LARGE SCALE IMMOBILIZED POLYMER SYNTHESIS by inventor(s) Michael C. Pirrung, J. Leighton Read, Stephen P.A. Fodor, and Lubert Stryer described in

- [ ] the application filed herewith  
[X] application Serial No. Not Yet Assigned, filed September 30, 1992  
[ ] Patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

**\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)**

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

NAME ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION


NAME ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Kenneth J. Nussbacher  
TITLE OF PERSON OTHER THAN OWNER VP, Business and Legal Affairs  
ADDRESS OF PERSON SIGNING 4001 Miranda Avenue, Palo Alto, CA 94304  
SIGNATURE  DATE 9-23-92